### How to read a research paper "You don't have to be a scientist to think critically"

Introduction to Statistics by A/Prof Allan McRae

Terry Heiman-Patterson, MD Professor of Neurology, Director of the ALS Center of Hope Temple University Lewis Katz School of Medicine





### Overview

- General pointers to reading a research paper
- What are the sections of a research paper
- Step by Step Process





### Pointers

#### Think Critically

- Do not assume that authors are always correct
- Ask questions
- Are the investigators solving the right problem? Approaching it logically? Making reasonable assumptions?

#### Read Creatively

- What are the good ideas in the paper
- Can the ideas be applied elsewhere?





### Pointers

#### Take Notes

- Include questions and criticisms
- Underline key points
- Mark data that is most important or most questionable
- After your read through try to summarize in a few sentences
  - Will help solidify the information and identify what you may want to re-read







- Consider looking at references and the background to compare the results to others in the field
  - What did this study contribute
- Remember it will be slow at first





- Abstract
- Introduction
- Methods
- Results
- Conclusions/Discussion
- References





#### Abstract

- Summary of the paper
- Highlights the main question
- Presents key results
- Overview of the conclusions





#### Introduction

- Background to the question including previous work
- Outlines the basis for the study and the particular question to be asked
- Methods
  - Design of the study
  - How the experiment will be carried out
- Results
  - What was found: the data from the experiments





#### Conclusions

- Interpretation of the results
- Review of previous work and how the present results add to the field
- Next steps
- References
  - Literature referred to by the investigators





- When you read, look up words you don't understand
  - Google and Wikipedia are your friend!!
- Take notes
- Reread if you are unsure





#### Begin with the introduction

- Presents supporting background –lays the groundwork
- Identify the big question –what problem is the entire field trying to solve
- Should lead directly to the specific question that is being asked
- Are the investigators making logical arguments?
- Make sure that you can summarize the background and what question the investigator is asking. Consider how it will add to the field





- Read the methods
  - Identify the approach
  - Consider the design of the study-is it likely to give valid results? Answer the question?
  - Try making a diagram of the experiments or trial
  - In the case of clinical trials-
    - Study and control population
    - How was medication dosed
    - How was safety measured
    - How were treatment and control groups determined
    - How was efficacy measured
    - How were the results analyzed



#### Read the results

- Pay attention to the figures and tables
- Did the results answer the specific question
- In clinical trials:
  - Were the control and treatment groups similar
  - What safety issues occurred
  - Did the intervention work?
  - Was there statistical significance?





- Read the Conclusion/Discussion/Interpretation section
  - Does the investigator interpret the results?
    - Do you agree with the interpretation
    - Can you think of any other way to interpret the results?
  - Does the investigator outline any weaknesses?
  - Does the investigator put his/her results in the context of previous results?
  - How does the study add to the knowledge?
  - What are the logical next steps?





- References
  - Consider reading references that review the topic
  - Refer to references to review the background
- Now read the abstract
  - Is it an accurate summary of the research?





## **Final Comments**

- Do not be afraid to jump in and read the literature-be an informed consumer
- Learn to be critical and creative
- Ask questions
- With practice it will become easier







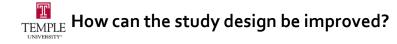
Critiquing the Literature: Stem Cell Transplantation into the Frontal Motor Cortex in Amyotrophic Lateral Sclerosis Patients

> Terry Heiman-Patterson, MD Chief of Neuromuscular Disorders Director MDA/ALS Center of Hope Temple University Lewis Katz School of Medicine



# Small Group Exercise 2: Critique of a research paper: Answer these questions

- Describe the design of the trial. (Results and Methods)
  - How many people enrolled? (Results paragraph 1)
  - How long was the trial? (Results paragraph 1)
  - What was the placebo-control group? Was a sham surgery used? (Methods paragraph 3, surgery, and Results paragraph 1)
  - Were the investigators blind to treatment? (Methods paragraph 3)
  - How did they measure the safety and efficacy of the stem cell treatment? (Methods paragraph 3 and data analysis)
- What are the inclusion/exclusion criteria of this trial? (Methods-Study Subjects paragraph 2)
- Please describe how the treatment and comparative (control) group were chosen? Was the selection at random? (Methods-subjects-paragraph 3)
- Please review table 1 and compare the following measures between the Control and Treatment groups (Results paragraph 2, Table 1, and Figure 2):
  - Duration from ALS diagnosis to baseline visit
  - ALSFRS-R Score at Baseline visit
  - Are the treatment and control groups similar (Apples-Apples) or different (apples-oranges)? Why is this important?
- What is the percentage of people that died because of the transplantation surgery? (Results paragraph 3)





### Please Briefly Describe the Design of the Trial

- How many people enrolled? (Results section)
  - 32 screened and 23 enrolled (10 treated and 13 control)
- How long was the trial? (Results section)
  - 1 year
- Was placebo 'sugar pill or sham surgery' used? (Methods/Surgery)
  - No
- Was the study 'blinded'? (Did the investigators know who was in the treatment group and who was in the control group?) (Methods/ Study subjects)
  - The study was not blinded
- How did they measure the safety and efficacy of the stem cell treatment? (Methods/data analysis)
  - Safety: There were no clear plans to measure Safety. Safety data was not presented in the results section
  - Efficacy: Survival, ALSFRS-R, FVC , QOL





#### What are the Inclusion/Exclusion Criteria of this Trial?

- The inclusion criteria for patients were:
  - (a) confirmed ALS according to the El Escorial clinical and neurophysiologic criteria;
  - (b) cervical and cranial magnetic resonance imaging (MRI) excluding structural damage to the brain and spinal cord;
  - (c) a functional respiratory test showing the occurrence of forced vital capacity (FVC) values; and
  - (d) an appropriate nutritional state (above 20%).
- The exclusion criteria were:
  - (a) severe bulbar involvement;
  - (b) an inadequate nutritional state;
  - (c) tracheostomy or gastrostomy;
  - (d) the presence of systemic disorders
  - (e) evidence of cervical spondylotic myelopathy or structural abnormalities by MRI.





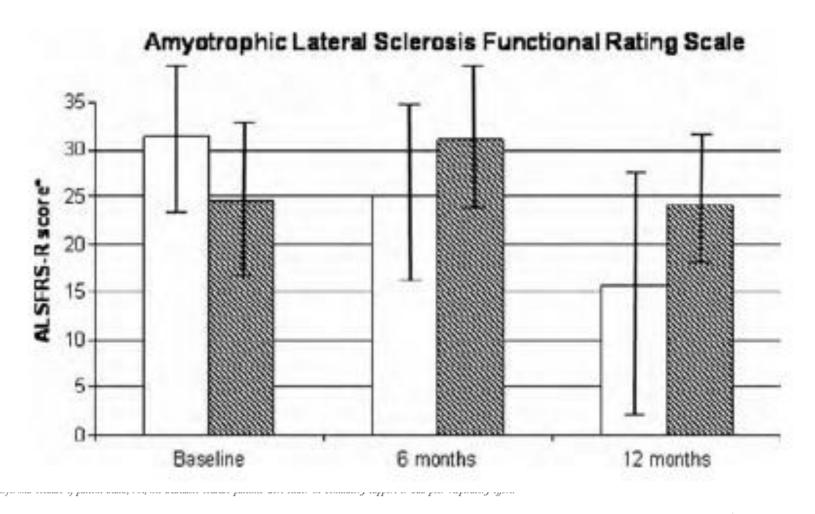
# How were the treatment and comparative (control) group chosen?

- Selection was done by the site investigator and was not at random.
- Controls were self selected by refusing treatment





#### Compare Measures between the Control and Treatment Groups (Table 1)







#### What Percentage of People Died because of the Transplantation Surgery?

• 1 out of 10  $\rightarrow$  10% (Results)





### How can the Study Design be Improved?

- Placebo controlled
- Blinding
- Random assignment of treatment
- Collection of safety measures (safety labs, adverse events)
- Information about trial conduct that may affect trial integrity, major protocol deviations?





### **Final Lessons**

- Read Carefully-Do not take everything at face value
- Be Informed
- Educate others

### Thanks for being a Research Ambassador!!!



